

Summary of ETM discussion of strategic risks – 15 February 2021

Strategic risks are subject to a monthly review by the Executive Team and were discussed at the Executive Team Meeting (ETM) on 15 February 2021, including:

- a summary of all strategic risks, aligned to their respective Academies, and
- a movement log showing additions, closures and changes in score, and those risks which had passed their review date.

Key points from the ETM discussion of strategic risks were as follows:

- One new risk - 3627, business continuity risk due to failure of estate infrastructure/engineering systems/building fabric etc - had been added to the strategic risk register (SRR) during the reporting period. Because the current risk score (after mitigation) was 20, ie “extreme”, the Executive Team agreed that this risk should be brought to the attention of the Regulation & Assurance Committee without delay, at its forthcoming meeting on 17 February. However ETM also noted that in line with the agreed governance processes, all of the strategic risks under consideration (including 3627) would still feed through to the respective Academies at the end of February. Academies would consider and decide escalation as necessary to the Regulation & Assurance Committee meeting on 10 March.
- Two risks had changed in score:
 - 3380 (risk that patients with a mental health diagnosis may not be treated appropriately due to a lack in staff knowledge/awareness). The score had increased from 16 to 20 as it was reported that there were increased numbers of very unwell patients requiring specialist input. ETM noted that mitigation included plans to review clinical support available if the patient required restraint
 - 3531 (risk that public/ stakeholders may lose confidence in the maternity service due to above average stillbirth rate, reduced 1:1 care in labour rate and CQC rating of Requires Improvement). The score had decreased from 12 to 8 reflecting effective mitigation, ongoing improvements and therefore reduced likelihood. Nonetheless ETM agreed it would be prudent to maintain oversight via the Strategic Risk Register (the CQC rating remains “inadequate” for Well Led).
- No risks were closed/de-escalated during the reporting period.
- No risks had passed their review date. This reflected a significant improvement on the previous month when, unusually, there had been 13 risks past their review date. The anomaly was caused by a large number falling due for review on 31 December; ETM noted that the position had now been fully rectified.